



Attorney Docket No.: MTI-31470

GAU 2815  
#3/IDS  
D. H. H. H.  
10-25-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of : Zheng, Lingyi A.  
Serial No. : 09/912,041  
Confirmation No. : 4539  
Filing Date : July 24, 2001  
For: : Improved Cell Nitride Nucleation on Insulative Layers and  
Reduced Corner Leakage of Container Capacitors

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231

**37 CFR 1.8(a)**

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**37 CFR 1.10**

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**Transmission**

☐ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: October 5, 2001

*[Signature]*

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant which may be considered material to the patentability of the claims of the above-captioned application.

The Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

*Kristine M. Strodthoff*

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Dated: October 5, 2001

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